

Optimization The Freeze Drying Process of *Penaeus Monodon* to Determine the Technological Mode

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Abstract—The establishment of the technological mode of the freeze drying process of *Penaeus monodon* was based on the solution to a multi-objective optimization problem. Experiments were carried out to set up the objective functions describing the influence of technological factors (temperature and pressure of freeze drying chamber, and time of freeze drying) to the freeze drying process. The restricted area method was applied to solve the multi-objective optimization problem, determining the optimal technological mode of freeze drying process (correspondingly 31.000C, 0.008mmHg, and 13.82h) in order that the objective functions reached the minimum value in terms of the final product, including the energy consumption of 5.84 kWh/kg, the residual water content of 4.67%, the anti-rehydration capacity of 6.98%, the volume contraction of 8.49% and the loss of total protein of *Penaeus monodon* after freeze drying of 2.95%.

Index Terms—Multi-objective optimization, freeze drying, technological freeze drying mode, *penaeus monodon*.

I. INTRODUCTION

The freeze drying is a process including three consecutive main stages, (Fig 1a), [1]-[6].

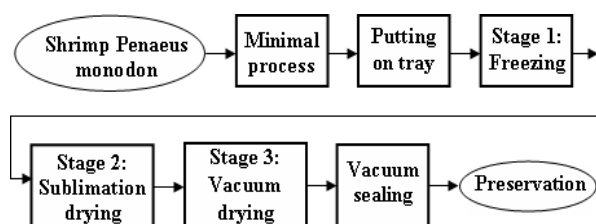


Fig. 1a. The freeze drying process diagram

Firstly, the freezing material stage: this stage requires quickly freezing product in order that the temperature of product reaches the optimal freezing temperature. At that time, water in food is completely frozen.

Secondly, the sublimation drying stage: crystallized water inside product will be sublimated from solid state to moisture state. This stage finished when the temperature of product is higher than crystallization temperature of water inside product. At this time, water inside product is liquid state.

Finally, the vacuum drying stage: water inside product will be evaporated from liquid state to moisture state. This stage finished when the residual water content of the final product

reaches (2 ÷ 6)%.

It can be showed that the freeze drying is a quite complicated technology. However, there have been a number of researches on establishing and solving the mathematical models of heat and mass transfer for the freeze drying applied to many different types of drying materials, which results in the determination of the kinetics of the freeze drying process. One of the most typical model was the one of Luikov A.V. (1972) [1], from which Liapis A.I. et al. (1996) [2], Pikal M.J. et al. (1984 and 1989) [3], [4] and Khalloufi S. et al. (2004) [5], they achieved the mathematical models of heat and mass transfer for the freeze drying of specific drying materials. Mainly in these researches the kinetics of the freeze drying process was focused to establish the technological parameters, but the assessment of the qualified products via the freeze drying mode reaching the objectives such as minimum energy consumption or residual water content of final products still remained unsolved [6]-[8]. The combination of these targets with the determination of the freeze drying mode is the problem of multi-objective optimization. However, it is complicated to give an answer to the multi-objective optimization problem. As always tests of the multi-objective optimization problem is a set of optimal Pareto test, each application of different methods will give different optimal Pareto tests. There are currently numerous methods applied to this problem, namely linear combination [9], fuzzy data classification [10] and Harrington method [9],[10], which reveals the subjective concept of the expert group on deciding the importance of each objective function. Therefore, the utopian point method or the restricted area method based on Pareto optimization theory is necessarily replaced to obtain the results more objective.

According to [11], [12], the freeze drying mode of *Penaeus vannamei* was determined with two objectives: the energy consumption, the residual water content of the final product, but the volume contraction and the capability of rehydration and the loss of total protein of the freeze dried product (or the final product), which were indispensable in mass production, were not mentioned. As a result, in order to manufacture, the freeze drying mode should be established on five objectives: the energy consumption, the residual water content, the capability of rehydration, the volume contraction and the loss of total protein of product.

It is obvious that three main stages of the freeze drying is a complicated technique [1]-[6]. These final stages determine the quality of the final product [6], [7]

The determination of the freeze drying mode required the outputs to reach the minimal level (Fig 1b), including the energy consumption per weight (y_1 , kWh/kg), the residual water content (y_2 , %), the anti-rehydration capacity (y_3 , %),

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the volume contraction (y_4 , %) and the loss of total protein (y_5 , %) of the freeze-dried product (final product). It should be emphasized that these 5 outputs were affected by the 3 technological factors: temperature of freeze drying chamber (Z_1 , °C), pressure of freeze drying chamber (Z_2 , mmHg) and time of freeze drying (Z_3 , h).

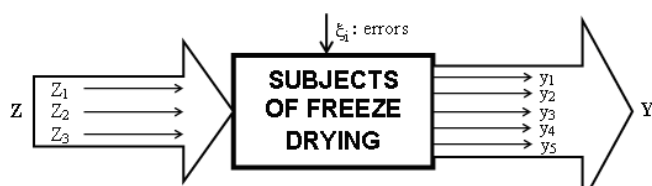


Fig. 1b. diagram of freeze drying.

However, the simultaneous consideration of all these outputs above to reach the minimal level resulted in the standard solution to the multi-objective optimization problem [13], [14]. This problem regularly appears in reality and in different fields. The answer to the multi-objective optimization problem was found in the case of the application of the $R^*(Z)$ optimal combination criterion (also known as the restricted area method, [13]) for the freeze drying process of *Penaeus monodon*. The results obtained were applied to determine the technological mode of the freeze drying process of *Penaeus monodon* in order that the optimal Pareto effect was the closest to the utopian point but the furthest from the restricted area C, [13], [14].

II. MATERIALS AND METHODS

A. Materials

The material used for the freeze drying experiments was *Penaeus monodon* which had the approximate weight of (41÷50) prawns/kg, with the size coefficient $K = 11$, [1]. Blanched at 70°C during (15 ÷ 30) seconds, the material was processed minimally by peeling off the shells and cutting off the heads.

B. Apparatus

The freeze drying machine DS-3 was controlled automatically by computer (Fig. 2)



Fig. 2. The freeze drying system DS-3 with the auto-freezing (-50÷-45)°C

The tools determining these factors such as energy consumption, residual water content, rehydration capacity, volume contraction, and loss of total protein could be referred to by [1], [5].

C. Methods

▪ **Determining the energy consumption** (y_1 , kWh/kg product) for 1 kg final product by Watt meter, [8], [14].

$$y_1 = \frac{U \cdot I \cdot \tau \cdot \cos \varphi}{G} \quad (1)$$

where: G (kg) – weight of the final product;
 U (V) – number of Voltmeter;
 I (A) – number of Amperemeter;
 τ (s) – second; $\cos \varphi$ – power factor

▪ **Determining the residual water content of the final product** (y_2 , %) by the mass sensor controlled by computer, [8], [14].

$$y_2 = 100 - \frac{G_i}{G_e} (100 - W_i) \quad (2)$$

▪ **Determining the anti-rehydration capacity of the final product** (y_3 , %) indirectly by IR [%], which is the rehydration capacity of the finished product: $y_3 = 100 - IR$, [8], [14].

$$IR = \frac{G_l - G_e}{G_i - G_e} \cdot 100\% \quad (3)$$

$$y_3 = 100 - IR = \frac{G_i - G_l}{G_i - G_e} \cdot 100\% \quad (4)$$

where: G_i (kg) – weight of the initial material used for freeze drying; G_e (kg) - weight of the final product; G_l (kg) – weight of the final product which was soaked into the water at 25°C until the constant mass (the saturation of the water content); W_i (%) – initial water content of the material.

The ideal rehydration capacity of the product means that the in-water content is equal to the out-water content of the product, i.e. $G_l = G_i$ and $IR_{max} = 1 = 100\%$, $y_{3min} = 0$. In fact, $y_3 \geq 0$.

▪ **Determining the volume contraction** (y_4 , %) by the volume of the initial material (V_1 , ml) and of the final product after freeze drying (V_2 , ml), [8], [14].

$$y_4 = \frac{V_1 - V_2}{V_1} \cdot 100\% = \frac{\Delta V}{V_1} \cdot 100\% \quad (5)$$

The fact that the surface of the product is not rough and not contracted means $y_{4min} = 0$. In fact, $y_4 > 0$.

▪ **Determining the loss of total protein of the final product** (y_5 , %) by the method Kjeldahl (TCVN 4328:200), [8], [14].

$$y_5 = \frac{m_1 - m_2}{m_1} \cdot 100\% = \frac{\Delta m}{m_1} \cdot 100\% \quad (6)$$

where the total protein of the material initial and after freeze drying respectively m_1 and m_2 (%) were calculated according to weight of dry matter. The fact that the product achieves the best quality means $y_{5min} = 0$. In fact, $y_5 > 0$.

▪ **Determining the temperature and pressure by sensors in the freeze drying system DS-3**, [8], [14].

- Establishing the objective functions by the quadratic orthogonal experimental planning method, [10], [13].
- Building and solving five-objective optimization problem by the restricted area method, [13], [14].

III. RESULTS AND DISCUSSION

A. Establishing the Constituent Objective Functions of the Multi-Objective Problem

The constituent objective functions of the optimal freeze drying (y_1, y_2, y_3, y_4, y_5) depended on the parameters, including: temperature of freeze drying chamber ($Z_1, ^\circ C$), pressure of freeze drying chamber ($Z_2, mmHg$), time of freeze drying (Z_3, h), and were determined by the experimental planning method with the quadratic orthogonal experimental matrix ($k = 3, n_0 = 4$). And the mathematical model of y_1, y_2, y_3, y_4 and y_5 were written by the equation (7) as follow [15]:

$$y = b_0 + \sum_{j=1}^k b_j x_j + \sum_{j \neq i; j=1}^k b_{ji} x_j x_i + \sum_{j=1}^k b_{jj} x_j^2 \quad (7)$$

These variables x_1, x_2 and x_3 were coded by variables of Z_1, Z_2 and Z_3 presented as follow:

$$x_i = (Z_i - Z_i^0) / \Delta Z_i; \quad Z_i = x_i \Delta Z_i + Z_i^0 \quad (8)$$

where: $Z_i^0 = (Z_i^{max} + Z_i^{min}) / 2$; $\Delta Z_i = (Z_i^{max} - Z_i^{min}) / 2$;

$$Z_i^{min} \leq Z_i \leq Z_i^{max}; \quad i = 1 \text{ to } 3$$

The experimental number is determined:

$$N = n_k + n_* + n_0 = 2^k + 2k + n_0 = 18 \quad (9)$$

With: $k = 2$; $n_k = 2^k = 2^3 = 8$; $n_* = 2k = 2 \times 3 = 6$; $n_0 = 4$

The value of the star point:

$$\alpha = \sqrt{\sqrt{N} \cdot 2^{(k-2)} - 2^{(k-1)}} = 1.414 \quad (10)$$

The condition of the orthogonal matrix:

$$\lambda = \frac{1}{N} (2^k + 2\alpha^2) = 2 / 3 \quad (11)$$

The experimental parameters were established by conditions of the technological freeze drying [13]-[15], they were summarized in Table I.

1) Establishing the mathematical model of objective functions y_1, y_2, y_3, y_4 and y_5

The experiments were carried out with all of the parameter levels in Table 1 to determine the value of the objective functions y_1, y_2, y_3, y_4 and y_5 . The results were summarized in Table II [11], [12].

TABLE I: PARAMETER LEVEL DESIGN

Parameters	Levels					Deviation ΔZ_i
	- α (-1.414)	Low -1	Centra 1 0	High +1	+ α (1.414)	
$Z_1, (^{\circ}C)$	20.102	23	30	37	39.898	7
$Z_2,$ (mmHg)	0.008	0.094	0.3	0.50 7	0.592	0.2065
$Z_3, (h)$	11.172	12	14	16	16.828	2

The mathematical model of regression equations below were obtained after processing the experimental data, calculating the coefficients, testing the significance of the coefficients by the Student test, and testing the regression equations for the fitness of the experimental results by Fisher test [10], [11], [12].

- *Mathematical model of the energy consumption for 1 kg final product:*

$$y_1 = f_1(x_1, x_2, x_3) = 6.314 + 0.157x_1 + 0.847x_3 - 0.208x_2^2 + 0.207x_3^2 \quad (12)$$

- *Mathematical model of the residual water content of the final product:*

$$y_2 = f_2(x_1, x_2, x_3) = 4.17 - 0.39x_1 - 0.614x_3 - 0.226x_1x_3 + 0.27x_1^2 + 0.245x_2^2 + 0.142x_3^2 \quad (13)$$

- *Mathematical model of the anti-rehydration capacity of the final product:*

$$y_3 = f_3(x_1, x_2, x_3) = 7.578 + 2.069x_1 + 0.575x_2 + 1.187x_3 + 0.851x_1^2 + 1.205x_3^2 \quad (14)$$

- *Mathematical model of the volume contraction of the final product:*

$$y_4 = f_4(x_1, x_2, x_3) = 8.307 + 1.45x_1 + 0.789x_2 + 0.76x_3 - 0.484x_2x_3 + 0.429x_1^2 + 0.607x_2^2 \quad (15)$$

- *Mathematical model of the loss of total protein of the final product:*

$$y_5 = f_5(x_1, x_2, x_3) = 3.508 + 0.112x_1 + 0.173x_2 + 0.409x_3 + 0.106x_2x_3 - 0.154x_2^2 \quad (16)$$

2) Building the mathematical model of the one-objective optimization problems

If the individually survey of every objective function ($y_j, j = 1$ to 5) of the freeze drying process depended on the technological parameters ($x_i, i = 1$ to 3), it was obvious that these objective functions along with the technological parameters would constitute the one-objective optimization problems. Because all targets of the one-objective functions were to find the minimal value, the one-objective optimization problems were restated as [13], [18]: Finding in common the test $x = (x_1^{opt}, x_2^{opt}, x_3^{opt}) \in \Omega_x = \{-1.414 \leq x_1, x_2, x_3 \leq 1.414\}$ in order that:

$$\begin{cases} y_j = f_{j\min}(x_1^{opt}, x_2^{opt}, x_3^{opt}) = \min f_j(x_1, x_2, x_3) \\ \forall x \in \Omega_x = \{-1.414 \leq x_1, x_2, x_3 \leq 1.414\}; j = \overline{1, 5} \end{cases} \quad (17a)$$

3) Building the mathematical model of the multi-objective optimization problem

The establishment of the technological mode of freeze drying process of *Panaeus monodon* was based on factors including: economic, technicality and quality of the product obtained, [16], [17]. The final product after freeze drying has been good quality, the energy consumption for the production of 1 kg product reached the minimum value and the residual water content of the final product reached the minimum value but in (2 ÷ 6)%. In addition, the final product after freeze drying must satisfy technological conditions with requirements in (18). Experimental results were obvious that:

- If the energy consumption for the production of 1 kg product was higher than $6kWh$ ($y_1 > C_1 = 6$), [7], it would increase the product price and difficult commercialization.

- If the anti-rehydration capacity of the final product was greater than 10% ($y_3 > C_3 = 10$), [6], [7], the final product would be denatured, not be able to recover the original its quality. As a results, quality of final product reduced.

- If the volume contraction of the final product was greater than 10% ($y_4 > C_4 = 10$), [8], the surface of final product would be chapped and sensory value of the final product reduced.

- If the loss of total protein of the final product was greater than 5% ($y_5 > C_5 = 5$), [6], [8], vitamins, natural color and flavor of final product would be destroyed and nutritional value of product reduced.

- In addition, if the residual water content of the final product was greater than 6% ($y_2 > b = 6$), the microorganisms would be capable to grow and develop and damage products. On the other hand, if residual water content of the final

product was less than 2% ($y_2 < a = 2$), the final product would be completely denatured, [8].

It was obvious that the multi-objective optimization problem appeared on research into establishing the technological mode of the freeze drying process. According to putting forward of [13], [14], [18], the multi-objective optimization problem has to be solved by the restricted area method. The technological parameters (x_1, x_2 and x_3) of the freeze drying process of *Penaeus monodon* had the simultaneous impact on five objective functions (y_1, y_2, y_3, y_4 and y_5) with the identified domain $\Omega_x = \{-1.414 \leq x_1, x_2, x_3 \leq 1.414\}$. Thus, the mathematical model of five-objective optimization problem determining the technological mode of the freeze drying process of *Penaeus monodon* was restated as:

TABLE II: THE DEGREE-2 ORTHOGONAL EXPERIMENTAL MATRIX, $K = 3, N_0 = 4$

N	x_0	x_1	x_2	x_3	x_1x_2	x_1x_3	x_2x_3	$x_1^2 - 2/3$	$x_2^2 - 2/3$	$x_3^2 - 2/3$	y_1	y_2	y_3	y_4	y_5	
2 ^k	1	1	1	1	1	1	1	0.333	0.333	0.333	6.70	3.72	12.45	10.91	4.03	
	2	1	-1	1	1	-1	-1	0.333	0.333	0.333	6.96	4.81	10.01	8.98	3.83	
	3	1	1	-1	1	-1	1	-1	0.333	0.333	0.333	7.48	3.51	11.71	10.92	3.57
	4	1	-1	-1	1	1	-1	-1	0.333	0.333	0.333	7.03	5.04	7.73	8.53	2.92
	5	1	1	1	-1	1	-1	-1	0.333	0.333	0.333	5.54	5.36	10.94	10.31	3.18
	6	1	-1	1	-1	-1	1	-1	0.333	0.333	0.333	5.48	5.69	7.78	8.67	2.96
	7	1	1	-1	-1	-1	-1	1	0.333	0.333	0.333	5.49	5.39	10.09	8.48	2.85
	8	1	-1	-1	-1	1	1	1	0.333	0.333	0.333	5.40	5.87	5.97	6.19	2.78
2k	9	1	1.414	0	0	0	0	1.333	-0.667	-0.667	6.77	4.08	13.32	12.85	3.59	
	10	1	-1.414	0	0	0	0	1.333	-0.667	-0.667	5.68	4.96	5.45	6.38	3.44	
	11	1	0	1.414	0	0	0	-0.667	1.333	-0.667	5.89	4.93	8.61	11.63	3.45	
	12	1	0	-1.414	0	0	0	-0.667	1.333	-0.667	6.14	4.01	7.75	8.31	3.32	
	13	1	0	0	1.414	0	0	0	-0.667	-0.667	1.333	8.23	3.51	12.61	10.13	4.36
	14	1	0	0	-1.414	0	0	0	-0.667	-0.667	1.333	5.45	5.02	7.57	7.70	2.71
n ₀	15	1	0	0	0	0	0	-0.667	-0.667	-0.667	6.44	4.41	7.08	7.86	3.65	
	16	1	0	0	0	0	0	-0.667	-0.667	-0.667	6.29	4.21	7.12	8.02	3.64	
	17	1	0	0	0	0	0	-0.667	-0.667	-0.667	6.52	4.29	7.16	8.45	3.55	
	18	1	0	0	0	0	0	-0.667	-0.667	-0.667	6.14	4.13	7.74	7.66	3.47	

Finding in common the test $x = (x_1^{opt}, x_2^{opt}, x_3^{opt}) \in \Omega_x = \{-1.414 \leq x_1, x_2, x_3 \leq 1.414\}$ in order that:

$$\begin{cases} y_1 = f_{1min}(x_1^{opt}, x_2^{opt}, x_3^{opt}) = \min f_1(x_1, x_2, x_3) \\ y_2 = f_{2min}(x_1^{opt}, x_2^{opt}, x_3^{opt}) = \min f_2(x_1, x_2, x_3) \\ y_3 = f_{3min}(x_1^{opt}, x_2^{opt}, x_3^{opt}) = \min f_3(x_1, x_2, x_3) \\ y_4 = f_{4min}(x_1^{opt}, x_2^{opt}, x_3^{opt}) = \min f_4(x_1, x_2, x_3) \\ y_5 = f_{5min}(x_1^{opt}, x_2^{opt}, x_3^{opt}) = \min f_5(x_1, x_2, x_3) \\ \forall x \in \Omega_x = \{-1.414 \leq x_1, x_2, x_3 \leq 1.414\} \end{cases} \quad (17b)$$

where $y_1 < C_1 = 6; 2 = a < y_2 < b = 6;$
 $y_3 < C_3 = 10; y_4 < C_4 = 10; y_5 < C_5 = 5$ (18)

A. Solving the one-Objective Optimization Problems

These one-objective optimization problems (17a) were found to achieve: $y_{1min} = \min f_1(x_1, x_2, x_3); y_{2min} = \min f_2(x_1, x_2, x_3); y_{3min} = \min f_3(x_1, x_2, x_3); y_{4min} = \min f_4(x_1, x_2, x_3); y_{5min} = \min f_5(x_1, x_2, x_3)$, with the identified domain $\Omega_x = \{-1.414 \leq x_1,$

$x_2, x_3 \leq 1.414\}$. By using the meshing method programmed in Matlab 7.0 software, the results of the optimal parameters of every objective function (12), (13), (14), (15) and (16) limited in the experimental domain were summarized in table 3, [11]-[18]:

TABLE III: MINIMUM TESTS OF EACH ONE-OBJECTIVE OPTIMIZATION PROBLEMS

j	y_{jmin}	x_1^{jopt}	x_2^{jopt}	x_3^{jopt}
1	4.89	-1.414	1.414	-1.414
2	3.12	1.314	0.000	1.414
3	5.22	-1.216	-1.414	-0.493
4	5.16	-1.394	-1.214	-1.405
5	2.43	-1.411	-1.414	-1.414

In the table 3, it is obvious that the utopian points were identified: $f^{UT} = (f_{1min}, f_{2min}, f_{3min}, f_{4min}, f_{5min}) = (4.89, 3.12, 5.22, 5.16, 2.43)$. However, the utopian test and the utopian plan did not exist, because of $x^{jopt} = (x_1^{jopt}, x_2^{jopt}, x_3^{jopt}) \neq x^{kopt} = (x_1^{kopt}, x_2^{kopt}, x_3^{kopt})$ with $j, k = 1 \div 5, j \neq k$, [13]-[18]

B. Solving the Multi-Objective Optimization Problem

The purpose of the experiment was to reach the targets of the freeze drying process which were expressed by 5 regression equations (12), (13), (14), (15) and (16), but the tests satisfying all function values (y_{1min} , y_{2min} , y_{3min} , y_{4min} , y_{5min}) could not be found. Hence, the idea of the multi-objective optimization problem was to find the optimal Pareto test for $yPR = (y_1PR, y_2PR, y_3PR, y_4PR, y_5PR)$ closest to the utopian point and the furthest from the restricted area, but $y_j = y_j(x) = f_j(x_1, x_2, x_3)$ must satisfy technological conditions with requirements in (18), [11]-[15]:

By the restricted area method, solving the multi-objective optimization problem of the freeze drying (17b) were presented as the followings [13]-[18]:

Setting the new objective functions [13], [14]:

$$I_1(x) = y_1(x) \quad \text{with } I_1(x) < C_1 = 6 \quad (19)$$

$$I_2(x) = [y_2(x) - (a + b)/2]^2 = (y_2(x) - 4)^2$$

$$\text{with } I_2(x) < C_2 = [(b - a)/2]^2 = 4 \quad (20)$$

$$I_3(x) = y_3(x) \quad \text{with } I_3(x) < C_3 = 10 \quad (21)$$

$$I_4(x) = y_4(x) \quad \text{with } I_4(x) < C_4 = 10 \quad (22)$$

$$I_5(x) = y_5(x) \quad \text{with } I_5(x) < C_5 = 5 \quad (23)$$

$$\forall x = (x_1, x_2, x_3) \in \Omega_x \quad (24)$$

From the system of equations from (19) to (23) and table 3, it can be easily found:

$$I_{1min} = y_{1min} = 4.89; \quad I_{2min} = 0.00; \quad I_{3min} = y_{3min} = 5.22; \quad I_{4min} = y_{4min} = 5.16; \quad I_{5min} = y_{5min} = 2.43;$$

From (19) to (23), the restricted area would be made:

$$y_1 \geq C_1 = 6; \quad y_2 \geq C_2 = 4; \quad y_3 \geq C_3 = 10; \quad y_4 \geq C_4 = 10; \\ y_5 \geq C_5 = 5; \quad (25)$$

Establishing the R^* -objective combination function $R^*(I_1, I_2, I_3, I_4, I_5) = R^*(y_1, y_2, y_3, y_4, y_5) = R^*(x_1, x_2, x_3) = R^*(x)$ as the followings [13], [14]:

$$\left\{ \begin{aligned} R^*(x) &= R^*(x_1, x_2, x_3) = \left[\prod_{j=1}^5 r_j(x_1, x_2, x_3) \right]^{\frac{1}{5}} = \left[\prod_{j=1}^5 r_j(x) \right]^{\frac{1}{5}} \\ \Omega_x &= \{-1.414 \leq x_1, x_2, x_3 \leq 1.414\}; \quad x = (x_1, x_2, x_3) \end{aligned} \right. \quad (26)$$

$$\text{With: } r_j(x) = \left(\frac{C_j - I_j(x)}{C_j - I_{jmin}} \right) \quad \text{when } I_j(x) < C_j \quad (27)$$

$$r_j(x) = 0 \quad \text{when } I_j(x) \geq C_j \quad (28)$$

where:

$$r_1(x) = (6 - I_1(x))/(6 - 4.89) \quad \text{when } I_1(x) < 6$$

$$\text{and } r_1(x) = 0 \quad \text{when } I_1(x) \geq 6$$

$$r_2(x) = (4 - I_2(x))/(4 - 3.12) \quad \text{when } I_2(x) < 4$$

$$\text{and } r_2(x) = 0 \quad \text{when } I_2(x) \geq 4$$

$$r_3(x) = (10 - I_3(x))/(10 - 5.22) \quad \text{when } I_3(x) < 10$$

$$\text{and } r_3(x) = 0 \quad \text{when } I_3(x) \geq 10$$

$$r_4(x) = (10 - I_4(x))/(10 - 5.16) \quad \text{when } I_4(x) < 10$$

$$\text{and } r_4(x) = 0 \quad \text{when } I_4(x) \geq 10$$

$$r_5(x) = (5 - I_5(x))/(5 - 2.43) \quad \text{when } I_5(x) < 5$$

$$\text{and } r_5(x) = 0 \quad \text{when } I_5(x) \geq 5$$

From (27), it can be seen: if $I_j(x) \rightarrow I_{jmin}$ and $\forall I_j(x) < C_j$, $r_j(x) \rightarrow r_{jmax} = 1$, [13]-[14].

By choosing $R^*(x)$ as the objective function, the m-objective optimization problem (17) is restated as: Find $ZR = (x_1R, x_2R, x_3R) \in \Omega_x$ in order that $R^*(x)$ reaches the maximum value [13]-[14].

$$R^*_{max} = R^*(xR) = \max \left\{ \left[\prod_{j=1}^5 r_j(x) \right]^{\frac{1}{5}} \right\} \quad (29)$$

$$\forall x = (x_1, x_2, x_3) = \{-1.414 \leq x_1, x_2, x_3 \leq 1.414\} \in \Omega_x$$

From (27), it can be seen: $0 \leq R^*(xR) \leq 1$. If $R^*(xR) = 1$, $xR = x^{UT}$ – the utopian test. If $R^*(xR) = 0$, one of the values of $I_j(x)$ violates (18), which means that $I_j(x)$ belongs to the restricted area C (25).

The five-objective optimization problem needed to identify $xR = (x_1R, x_2R, x_3R) \in \Omega_x$ in order that $R^*(x_1R, x_2R, x_3R) = \text{Max}\{R^*(x_1, x_2, x_3)\}$. The maximum value and test of (29) was determined by using the meshing method programmed in Matlab 7.0 software [11]-[15]:

$$R^*(x)_{max} = \text{Max}\{R^*(x_1, x_2, x_3)\} \\ = R^*(x_1R, x_2R, x_3R) = 0.456$$

$$\text{With: } x_1R = 0.142; x_2R = -1.414; x_3R = -0.092;$$

Then, transforming into real variables:

$$Z_1^{opt} = 31.00^{\circ}\text{C}; \quad Z_2^{opt} = 0.008\text{mmHg}; \quad Z_3^{opt} = 13.82\text{h}$$

Substituting x_1R, x_2R, x_3R into these equations from (19) to (23), the results were obtained as:

$$I_1PR = 5.84; \quad I_2PR = 0.45; \quad I_3PR = 6.98; \quad I_4PR = 8.49; \\ I_5PR = 2.95$$

Substituting x_1R, x_2R, x_3R into these equations from (19) to (23), the results were obtained as:

$$y_1PR = 5.84; \quad y_2PR = 4.67; \quad y_3PR = 6.98; \quad y_4PR = 8.49; \\ y_5PR = 2.95$$

The rehydration capacity of the product was determined as: $IR = 100 - y_3PR = 93.02$

where $xR = (x_1R, x_2R, x_3R)$ called optimal Pareto test and $IPR = (I_1PR, I_2PR, I_3PR, I_4PR, I_5PR)$ or $fPR = yPR = (y_1PR, y_2PR, y_3PR, y_4PR, y_5PR)$ called the optimal Pareto effect.

As a result, through the calculation from the experimental models from (19) to (23), the parameters of the freeze drying process which satisfied the maximum R^* -Optimal combination criterion were determined as: temperature of freeze drying chamber was $Z_1^{opt} = 31.00^{\circ}\text{C}$, pressure of freeze drying chamber was $Z_2^{opt} = 0.008\text{mmHg}$, time of freeze drying was $Z_3^{opt} = 13.82\text{h}$. The total energy consumption per weight of the product was $y_1PR = 5.84 \text{ kWh/kg}$; the residual water content of the product was $y_2PR = 4.67\%$ (acceptable with the initial requirements of $2 \div 6 \%$); the rehydration capacity of the product was $IR = 100 - y_3PR = 93.02 \%$; the volume contraction of the product was $y_4PR = 8.49 \%$ and the loss of total prorein of the final product was $y_5PR = 2.95\%$. Compared with the experimental results from the table 2, these results above were suitable and satisfying with the objectives of the problem.

C. Experiment to test the Results of Multi-Objective Optimization Problem

The freeze drying process of *Penaeus monodon* was Carried out at the optimal Pareto test: temperature of freeze drying chamber of $Z_1^{opt} = 31.00^{\circ}\text{C}$, pressure of freeze drying chamber of $Z_2^{opt} = 0.008\text{mmHg}$, and time of freeze drying $Z_3^{opt} = 13.82$ hours. The experimental results were determined value of objectives of final product as: the energy consumption per final product weight of $y_1 = 5.86$ kWh/kg, the residual water content of $y_2 = 4.71\%$, the rehydration capacity of $IR = 100 - y_3 = 92.99\%$ (the anti-rehydration capacity of $y_3 = 7.01\%$), the volume contraction of $y_4 = 8.38\%$ and the loss of total protein of $y_5 = 2.91\%$.

Consequently, it was very noticeable that the results from the optimization problems of the freeze drying process had the approximation to the experimental results

The *Penaeus monodon* after the freeze drying at the optimal Pareto test: $Z_1^{opt} = 31.00^{\circ}\text{C}$; $Z_2^{opt} = 0.008$ mmHg; $Z_3^{opt} = 13.82\text{h}$. The final product obtained could be seen in Fig 3 (Freeze-dried *Penaeus monodon*).

It was certain that the optimal Pareto test and the optimal Pareto effect of the multi-objective optimization problem of the freeze drying process were be possibly applied to determine the technological mode of the freeze drying process of *Penaeus monodon* in the industrial production.



Fig. 3. Freeze-dried *Penaeus monodon*

When the pressure of freeze drying chamber was fixed: $x_2 = -1.414$, respectively $Z_2 = 0.008$ mmHg, the relationship between y_1, y_2, y_3, y_4, y_5 and R^* combination function with 2 variables x_1, x_3 was performed geometrically in 3D (Figures 4, 5, 6, 7, 8, 9). When x_3 was fixed with constant values, the variation of x_1 was shown in Fig, 10, 11, 12, 13, 14, 15.

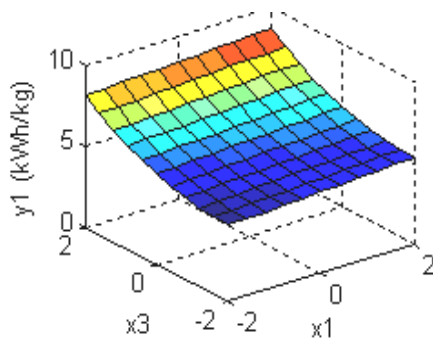


Fig. 4. Energy consumption $\times 2 = -1.414$.

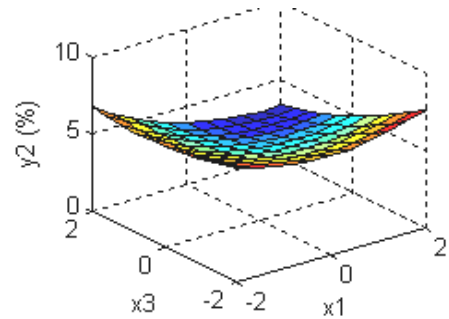


Fig. 5. Water content product $\times 2 = -1.414$.

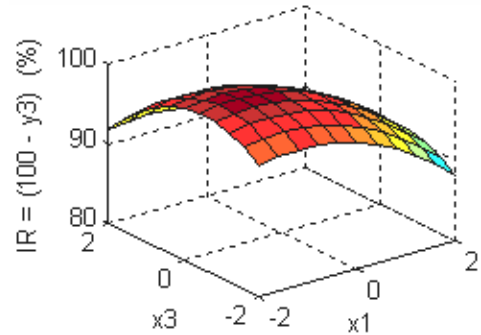


Fig. 6. Rehydr capacity $\times 2 = -1.414$

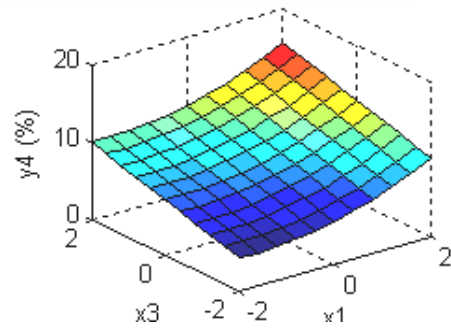


Fig. 7. Volume contraction $\times 2 = -1.414$

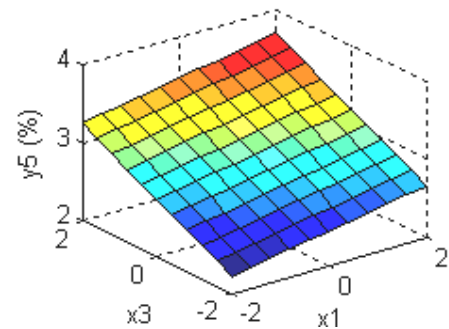


Fig. 8. The loss of total protein $\times 2 = -1.414$

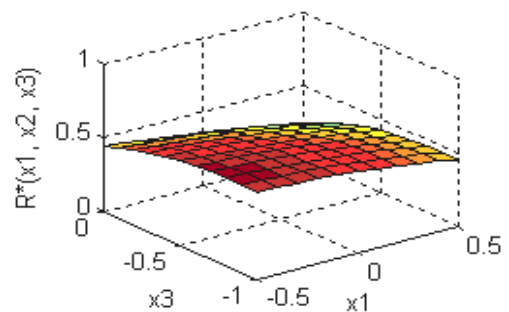


Fig. 9. Combination function $R \times = -1.414$

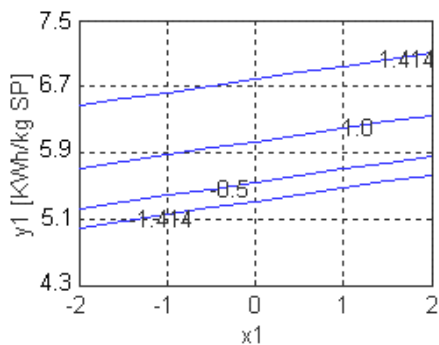


Fig. 10. Energy consumption $x_2=-1.414$

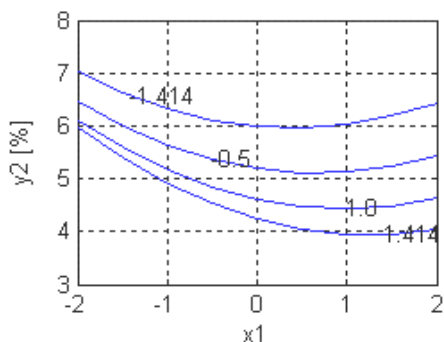


Fig. 11. Water content of product $x_2=-1.414$

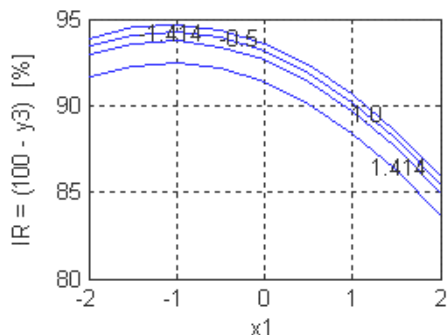


Fig. 12. Rehydration capacity $x_2=-1.414$

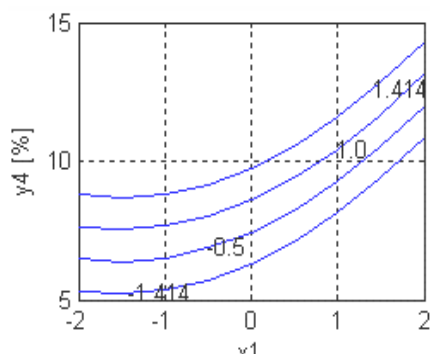


Fig. 13. Volume contraction $x_2=-1.414$

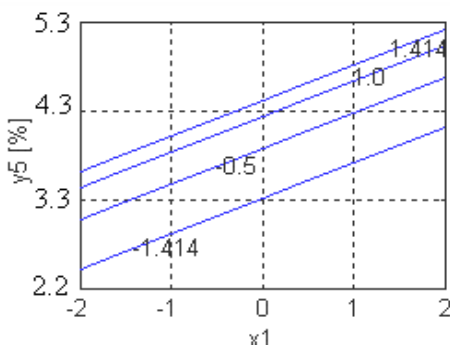


Fig. 14. The loss of total protein $x_2=11.414$

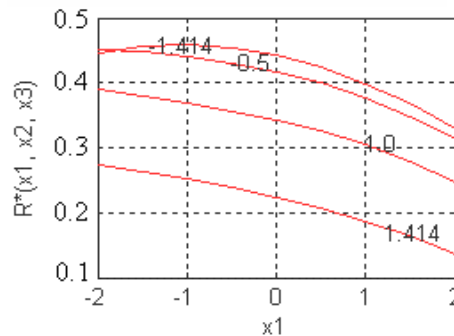


Fig. 15. Combination function $R \times 2=-1.414$

IV. CONCLUSION

The mathematical models (12), (13), (14), (15) and (16) obtained from the experiments described quite well the impact of the freeze drying chamber temperature, the freeze drying chamber pressure and the freeze drying time on the energy consumption, the residual water content, the rehydration capacity, the volume contraction and the loss of total protein of the final product.

The mathematical model of multi-objective function (17b) described quite well about the freeze drying process of *Penaeus monodon*. The results showed that optimization the freeze drying process determined three optimal technological parameters: Z_1^{opt} - temperature of freeze drying chamber, Z_2^{opt} - pressure of freeze drying chamber, Z_3^{opt} - time of freeze drying in order that five objective functions (y_1, y_2, y_3, y_4, y_5) reached the the minimal level, which were entirely consistent with the experimental datas and conditions of the industrial production, [11], [12].

The results also demonstrated that optimal Pareto test $ZR = (Z_1^{opt} = 31.00^\circ\text{C}; Z_2^{opt} = 0.008\text{mmHg}; Z_3^{opt} = 13.82\text{h})$ for optimal Pareto effect $yPR = (y_1PR = 5.84; y_2PR = 4.67; y_3PR = 6.89; y_4PR = 8.49; y_5PR = 2.95)$ was the closest to the utopian point but the furthest from the restricted area.

The results obtained was established the technological freeze drying mode of *Penaeus monodon* in order that the total energy consumption per weight of the final product reached the minimal level, the quality of the final product reached the maximal level, and the residual water content of the final product reached the requisite value of $(2 \div 6)\%$.

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