Schiff Base Transition Metal Complexes for ROP of *L*-Lactide

S. Mantri, H. C. Pradhan, T. Maharana, and A. K. Sutar

Abstract—A Series of Schiff base transition metal complexes have been synthesized and its reactivity for the ring-opening polymerization (ROP) of lactide has been studied. These metal complexes were synthesized by the reactions of metal salt solution with one molar equivalent of bulky Schiff-base ligand in methanol under nitrogen atmosphere. These complexes have been tested as initiators for the ring-opening polymerization of lactide with variation of concentration, time, solvent, etc. The transition metal complexes allow controlled ring-opening polymerization as shown by the linear relationship between the percentage conversion and the number-average molecular weight.

Index Terms—Lactide, polymerization, ROP, schiff base, transition metal.

I. INTRODUCTION

Poly(lactic acid) (PLA) [1], produced by the ring-opening polymerization (ROP) of lactide (LA), is a leading biodegradable and biocompatible polyester and their degradation into nontoxic components (water and carbon dioxide) make polylactides (PLAs) very useful for its biomedical and pharmaceutical applications such as controlled drug delivery systems. [2], [3] Owing to their mechanical properties, PLAs are widely used in surgery as sutures, orthopedic applications, tissue engineering and biodegradable internal fixation devices for repair of fractures to small bones and joints [4].

The preventive use in biomedical application is dependent on the extent to which the metal residues are removable upon quenching the polymerization. As removal of metal can never be zero, a preferred and feasible industrial process should employ metals in which the residues are not cytotoxic. It is practical to use environmentally benign metals that are part of the mammalian anatomy, so that there will be no harm for metal. [5] A number of different metal initiators and catalysts have been used in the ROP of lactides, including compounds of aluminum, lithium, magnesium, iron, tin, titanium, or zinc etc. Recently, catalysts based on transition metals have received increased attention because these elements can be metabolized in the body. [6] Comparatively, metal complexes with high electron transfer ability, moderate Lewis acidity and stability associated with reactive intermediates, should be the topic of in depth investigations. Metal complexes should be biocompatible metal supporting the survival of life.

Currently almost all commercial PLAs are prepared using FDA-approved stannous octanoate as mediator. The activity of metal complexes of Schiff base ligands varies with the structure and nature of Schiff base ligands, hence an effort has been made to synthesize the metal complex of HMBBD (N,N'-bis (2-hydroxy-3-methoxybenzaldehyde) benzene-1, 2-diamine) and to evaluate their catalytic activity in ROP of lactide. Investigations on activity of metal complexes of HMBBD Schiff base in catalysis of ROP of lactide is hardly in the literature; hence, synthesis available and characterization of metal complexes of HMBBD Schiff base for their structures and catalytic activity is of academic and commercial interest.

II. EXPERIMENTAL

A. Materials

Syntheses were performed under a dry nitrogen atmosphere using a combination of a glove box and standard Schlenk techniques. All solvents were of analytical grade and were dried and distilled prior to use. Toluene and dichloromethane were dried and distilled from sodium benzophenone and P_2O_5 respectively. Anhydrous metal salts, and benzene-1, 2-diamine (BD) were purchased from HiMedia Laboratories Pvt. Ltd., Mumbai, India, 2-hydroxy-3-methoxybenzaldehyde (HMB) and benzyl alcohol were procured form E. Merck, India. *L*-Lactide (LA) was obtained from Sigma–Aldrich and used as received. Other chemicals were of analytical grade (>99.0 wt %) and used as received.

B. Synthesis of HMBBD Schiff Base and Complexation of Metal Ions

HMBBD Schiff base was synthesized by the modified procedure reported in the literature. The reaction mixture containing 2-hydroxy-3-methoxybenzaldehyde (HMB) (20.00 mmol, 3.04 g) and benzene-1,2-diamine (BD) (10.00 mmol, 1.08 g) in methanol was refluxed at 60 °C for about 2h. The reaction mixture on cooling to low temperature produced orange colored crystals, which were filtered and recrystallized with chloroform. The metal complexes of HMBBD Schiff base were prepared by taking 100 ml methanolic solution mixture of Schiff base 20.00 mmol (7.53 g) and 20.00 mmol of metal salt in a round bottom flask and refluxing the reaction mixture at 60 °C for 5 h. All reactions were performed under nitrogen atmosphere. Finally, metal complexes were recrystallized in methanol and dried in a vacuum desiccator. The loading of metal ion on HMBBD Schiff base was

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calculated as complexation of metal ion using the following equation.

Complexation of metal ion =
$$\frac{\text{Amount of metal loaded}}{\text{Amount of Schiff base used for loading}} \times 100$$

C. M-HMBBD Complex in Ring Opening Polymerization of L-lactide

A typical polymerization procedure was exemplified by the synthesis of PLA-150 at room temperature. To a rapidly stirred solution of M-HMBBD (0.133 mmol) in toluene (20 mL), *L*-lactide (0.72 g, 20 mmol) was added along with requisite amount of benzyl alcohol. A rise in viscosity was observed and finally the stirring was ceased after 24h. Volatile materials were removed under vacuum, and the residue was extracted with THF (30 mL). The extraction was dried again and the white precipitate was washed with n-hexane three times and dried under vacuum overnight, giving a crystalline white solid.

III. RESULTS AND DISCUSSION

The catalytic activity of metal complexes has been controlled significantly by varying the nature of Schiff base ligands and using metal ions in different oxidation states. The activity of catalysts also varied with the amount of Schiff base and metal ions. To analyze the effect of type of metal ions, the HMBBD Schiff base was loaded with cobalt(II), copper(II), nickel(II) and zinc(II) ions and the complexes were analyzed for their structure, stability and catalytic activity towards ROP of lactide.

A. Synthesis and Characterization of HMBBD Schiff Base and Its Metal Complexes

The HMBBD Schiff base was obtained by refluxing HMB and BD to produce a substantial yield (94.4 wt %) (Scheme 1).



Scheme 1. Synthesis of N, N'-bis (2-hydroxy-3-methoxybenzaldehyde) benzene-1,2-diamine (HMBBD) Schiff base.

The IR spectrum of HMBBD Schiff base showed absorption bands at 1609 cm⁻¹ (>C =N), 1260 cm⁻¹ (>C–O) phenolic (Table I) and a broad band between 3050 and 2850 cm⁻¹ was also observed, which was assigned to phenolic OH group. The electronic spectra of HMBBD Schiff base (Table II) showed absorption bands at 260 nm and 330 nm, which were assigned to $\pi \rightarrow \pi^*$ and $n \rightarrow \pi^*$ transitions.

The elemental analysis of HMBBD Schiff base showed (wt %): C = 70.35, N = 7.02 and H = 5.70; Caltd (%): C = 70.20, N = 7.44 and H = 5.36 (Table III), which corresponded to $C_{22}H_{20}N_2O_4$ empirical formula of HMBBD Schiff base [7]. The molecular weight of Schiff base was 377.68 g mol⁻¹ (Caltd 376.41 g mol⁻¹).

The loading of metal ions on HMBBD Schiff base was carried out by refluxing the Schiff base in solution of metal salt at 60 $^{\circ}$ C for 7 h (Scheme 2). The metal complex, after

separation and purification was analyzed for their structures and loading of metal ions (Table IV). The complexation of Co(II), Ni(II), Cu(II) and Zn(II) ions on HMBBD Schiff base were 81.27 wt%, 88.88 wt%, 86.27 wt% and 77.71 wt% respectively. The efficiency of complexation of HMBBD Schiff base (%EC) has shown dependence on the type of metal ions.

TABLE I: FTIR FREQUENCIES OF HMBBD SCHIFF BASE AND ITS METAL

COMPLEXES						
	Absorption frequencies / cm ⁻¹					
Compounds	$\upsilon_{C=N}$	v_{C-O}	UOH(phenolic)	υ_{N-O}	υ_{C-N}	
HMBBD	1609	1260	3050-2850			
Co-HMBBD	1581	1288	-	555	420	
Ni-HMBBD	1586	1279	-	525	422	
Cu-HMBBD	1627	1251	-	568	444	
Zn-HMBBD	1590	1280	-	561	418	

TABLE II: TRANSITION FREQUENCIES IN UV SPECTRA OF HMBBD SCHIFF BASE AND ITS METAL COMPLEXES

	Frequencies λ_{max} / nm			
Compounds	$\pi \rightarrow \pi *$	$n \rightarrow \pi *$	$C \rightarrow T$	d→d
HMBBD	260	330	-	-
Co-HMBBD	229	299	359	-
Ni-HMBBD	246	292	312	403
Cu-HMBBD	250	326	347	444
Zn-HMBBD	241	303	-	-

TABLE III: ELEMENTAL ANALYSIS OF HMBBD SCHIFF BASE AND ITS METAL IONS COMPLEXES

Compounds	Carbon/ wt%	Nitrogen/ wt%	Hydrogen/ wt%
	70.35	7.02	5.70
HMBBD	(70.20)	(7.44)	(5.36)
	61.23	6.32	4.56
CO-HMBBD	(60.98)	(6.46)	(4.19)
	60.51	6.19	4.13
NI-HWIDDD	(61.01)	(6.47)	(4.19)
	61.75	6.24	4.21
Cu-HMBBD	(60.34)	(6.40)	(4.14)
7 IMDDD	59.87	6.14	4.28
ZII-RIMDDD	(60.08)	(6.37)	(4.13)

Data in parenthesis are theoretical.

TABLE IV: EFFICIENCY OF COMPLEXATION (EC) OF METAL IONS (WT%)

Metal ions	HMBBD Schiff base
Co(II) ions	81.27
Ni(II) ions	88.88
Cu(II) ions	86.27
Zn(II) ions	77.71





The IR spectrum of HMBBD Schiff base showed absorption bands at 1609 cm⁻¹ (>C =N), 1260 cm⁻¹ (>C–O) phenolic and a broad band between 3050 and 2850 cm⁻¹ was also observed, which was assigned to phenolic OH. But the complexation of metal ion with HMBBD Schiff base showed significant variations in IR bands for >C=N and >C–O

(phenolic) groups. Further, new absorption bands were also appeared due to the formation of M–O and M–N bonds in M-HMBBD complex (Table I), which were absent in FTIR spectrum of HMBBD Schiff base. The disappearance of phenolic absorption band between 2850 and 3050 cm⁻¹ in the IR spectrum of HMBBD Schiff base after the complexation of metal ions was an evidence for the complexation of metal ions with HMBBD Schiff base [6].

The complexation of metal ions with Schiff base was further confirmed by comparing electronic spectra of metal complexes and pure HMBBD Schiff base. The electronic spectra of HMBBD Schiff base (Table II) showed absorption bands at 260 nm and 330 nm, which were assigned to $\pi \to \pi^*$ and $n \rightarrow \pi^*$ transitions. But the M-HMBBD complex showed hypsochromic shift in $\pi \rightarrow \pi^{*}$ transition (Table II). And the n $\rightarrow \pi^*$ transition upon complexation with cobalt(II), copper(II), nickel(II) and zinc(II) ions has also shown a decrease in frequency of n $\rightarrow \pi^*$ transition on complexation with HMBBD Schiff base (Table II). These variations in $\pi \rightarrow \pi^*$ and $n \rightarrow \pi^*$ transitions were taken as concrete proof for the complexation of metal ions. In addition to these variations in transition frequencies, the shift in frequencies corresponding to charge transfer $(C \rightarrow T)$ and $d \rightarrow d$ transitions were also used to differentiate the Schiff base and metal complexes.

The magnetic moment (μ) of HMBBD Schiff base metal complexes were determined and compared with their theoretical values and their structure have been determine. and to verify the molecular weight of metal complexes determined by vapor pressure osmometer. The elemental analysis of Cu-HMBBD complex showed (wt %): C = 61.75, N = 6.24 and H = 4.21; Caltd (%): C = 60.34, N = 6.40 and H = 4.14, which corresponded to C₂₂H₁₈CuN₂O₄ empirical formula of Cu-HMBBD complex (Table III). The elemental analysis of cobalt, nickel and zinc complexes correspond to their empirical formula.

The stochiometry of metal complexes of HMBBD have shown 1:1 ratio of metal ions and HMBBD Schiff base. The electronic spectra and magnetic moment (μ) of metal complexes have suggested that HMBBD Schiff base complexes of cobalt(II), copper(II) and nickel(II) ions were square planar (dsp²) in geometry with $t_{2g}^{6}e_{g}^{1}$, $t_{2g}^{6}e_{g}^{2}$ and $t_{2g}^{6}e_{g}^{3}$ electronic configurations, whereas the HMBBD Schiff base complexes of zinc(II) ions was tetrahedral (sp³) in geometry with $t_{2g}^{6}e_{g}^{4}$ electronic configuration.



Fig. 1. TG curves of HMBBD Schiff base and its metal complexes.

The complexation of metal ions on HMBBD Schiff base (Table IV and Fig. 1) has increased thermal stability as determined by TG and DTG analysis of these metal complexes. The weight loss in metal complexes was studied up to 500 $^{\circ}$ C at a heating rate of 5 $^{\circ}$ C /min in nitrogen atmosphere.

The TGA of HMBBD Schiff base showed a weight loss of 40.1 wt% at 500 °C, but its cobalt(II), nickel(II), copper(II) and zinc(II) ions complexes has shown weight loss of 34.0, 35.5, 32.0 and 27.1 wt%, respectively at same temperature, which was clear indication that zinc(II) ion complex was more stable in comparison to other metal ions and the stability order of HMBBD Schiff base complexes were found to be in order of, Zn (II) > Cu (II) > Co (II) > Ni (II) > HMBBD Schiff base. The weight loss at 500 °C was attributed to the decomposition of metal complexes of HMBBD Schiff base.

The high thermal stability of metal ions complexes of HMBBD Schiff base has indicated that supported metal complexes may be applied as catalysts in high temperature reactions.

B. Evaluation of Catalytic Activities of M-Hmbbd Complexes towards Ring Opening Polymerization of L-Lactide

On the basis of the "immortal" property of lactide polymerization demonstrated by several metal complexes [1] and the evidence of in situ formation of metal alkoxide in the presence of alcohol, the complex M-HMBBD was expected to behave as catalysts towards the ROP of lactide in the presence of benzyl alcohol. The M-HMBBD in presence of benzyl alcohol does initiate the ring-opening polymerization of *L*-lactide (LA) in dichloromethane at 30°C (Scheme 3). All the runs displayed good activities for the polymerization of *L*-lactide and great control of molecular weight, and the presence of benzyl alcohol has a significant influence on the polymerization behavior of the M-HMBBD.



Fig. 2. ¹H NMR spectrum of PLLA-150 (150 indicates [LA]₀/[BnOH] = 150) catalyzed by Cu-HMBBD.

Ring-opening polymerization of *L*-lactide using complex M-HMBBD, with a monomer to benzyl alcohol ratio 150/1 has been systematically studied at 30 $^{\circ}$ C (Table V). It is worth noting that complex M-HMBBD is more active in CH₂Cl₂ than in toluene or in THF. The slowest polymerization rate is

found in THF probably caused by the coordination ability of THF with metal to retard the reaction rate. Toluene is non polar solvent and CH_2Cl_2 is polar aprotic solvent. Thus, the polymerization is somewhat higher in CH_2Cl_2 than that in toluene.

From the polymerization results listed in Table VI, several structure-activity trends may be drawn. Experimental results indicate that compound M-HMBBD is an efficient catalyst for ROP of *L*-lactide in the presence of BnOH, when [LA]/[BnOH] ratio is ranging from 50 to 200.

the PLLA, catalyzed by M-HMBBD with BnOH as an initiator are carried out. The ¹H NMR spectrum of PLLA-150 by Cu-HMBBD complex (Fig. 2), prepared from a $[LA]_0/[BnOH]$ ratio of 150, indicates that the polymer chain is capped with a benzyl ester group on one end and a hydroxyl group on the other end, suggesting that the initiation occurred through the insertion of the benzyl alkoxy group into *L*-lactide, giving a copper alkoxide intermediate, which further reacts with an excess of *L*-lactide yielding polyesters. The polymerization procedures agree with the process found with other metal alkoxides [9].

To understand the initiating processes, ¹H NMR studies on

			Solvents			
Entry	Complexes	Parameter	CH ₂ Cl ₂	Toluene	THF	
1		Conversion (%) ^a	79.3	69.3	50.8	
	Co-HMBBD	M_n (Theory) ^b (g mol ⁻¹)	17200	15100	11100	
		M_n (VPO) ^c (g mol ⁻¹)	19100	16400	13300	
2	Ni-HMBBD	Conversion (%) ^a	86.1	80.0	61.3	
		M_n (Theory) ^b (g mol ⁻¹)	18700	17400	13400	
		M_n (VPO) ^c (g mol ⁻¹)	21300	19200	16100	
3	Cu-HMBBD	Conversion (%) ^a	92.4	80.7	56.5	
		M_n (Theory) ^b (g mol ⁻¹)	20100	17500	12300	
		M_n (VPO) ^c (g mol ⁻¹)	22900	19400	17100	
4	Zn-HMBBD	Conversion (%) ^a	69.4	53.4	40.7	
		M_n (Theory) ^b (g mol ⁻¹)	15100	11600	9000	
		M_n (VPO) ^c (g mol ⁻¹)	17000	14200	10900	

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^a Percentage conversion of the monomer [(weight of polymer recovered/weight of monomer) ×100].

^b Calculated by [([LA]₀/[BnOH]) ×144.13 × conversion% + 108.14]

^c Determined by VPO.[8]

TABLE VI: POLYMERIZATION OF L-LACTIDE BY M-HMBBD IN PRESENCE OF BENZYL ALCOHOL WITH VARIATION IN LACTIDE EQUIVALENT

Entry	Complexes	[<i>L</i> -LA] ₀ / [Cu]/[BnOH]	Time (h)	Conversion ^a (%)	$M_n (ext{Theory})^{\mathrm{b}}$ $(ext{g mol}^{-1})$	$M_n (\text{VPO})^c$ (g mol ⁻¹)	PDI ^e
1	Co-HMBBD	50:1:1	24	69.3	5100	6400	1.11
		100:1:1	24	75.3	11000	13300	1.09
		150:1:1	24	79.3	17200	19100	1.08
		200:1:1	24	82.4	23800	25300	1.10
2		50:1:1	24	73.9	5400	7100	1.12
	Ni-HMBBD	100:1:1	24	82.4	11900	15400	1.11
		150:1:1	24	86.1	18700	21300	1.11
		200:1:1	24	89.3	25800	27100	1.13
3	Cu-HMBBD	50:1:1	24	78.6	5800	7300	1.06
		100:1:1	24	88.9	12900	15100	1.06
		150:1:1	24	92.4	20100	22900	1.09
		200:1:1	24	94.6	27400	28600	1.11
4	Zn-HMBBD	50:1:1	24	60.1	4400	6200	1.07
		100:1:1	24	66.9	9700	12200	1.09
		150:1:1	24	69.4	15100	17000	1.07
		200:1:1	24	73.8	21400	22900	1.10

Conditions: [L-LA] 0 = 20 mmol, room temperature. solvent 30 mL of CH2Cl2

^a Percentage conversion of the monomer [(weight of polymer recovered/weight of monomer) $\times 100$].

^b Calculated by [([LA]₀/[BnOH]) ×144.13 × conversion% + 108.14]

^c Determined by VPO[8]

^d Data not available

^e Obtained from GPC analysis and calibrated by polystyrene standard.

C. Kinetics of Polymerization

Kinetic studies for the polymerization of *L*-lactide have been performed by using M-HMBBD as catalysts in the

presence of an initiator BnOH in the ratio [LA]/[M]/[BnOH] = 100/1/1 (Fig. 3).

This plot implies that the polymerization reaction obeys first order kinetics. The $ln[M]_o/[M]_t$ vs time plots is linear. [10] The values of the apparent rate constant (k_{app}) may be

calculated from the slope of these plots. The value of k_{app} for *L*-lactide polymerization in the presence of initiator was found to be 0.04649 h⁻¹(Fig. 3), 0.06317 h⁻¹, 0.0918 h⁻¹ and 0.02935 h⁻¹ for Co-HMBBD, Ni-HMBBD, Cu-HMBBD and Zn-HMBBD complexes respectively.



Fig. 3. Semilogarithmic plots of *L*-lactide conversion in time catalyzed by Co-HMBBD in the presence of BnOH: $[L-LA]_0 / [Co]/[BnOH] = 100 / 1/1$.

IV. CONCLUSION

To evaluate the role of HMBBD Schiff base complexes of metal ions in the ring opening polymerization of *L*-lactide in the presence of benzyl alcohol, the copper(II), nickel(II), cobalt(II) and zinc(II) ion complexes of HMBBD Schiff base have been synthesized successfully and their activities were compared at different concentrations of lactide and different amount of benzyl alcohol. The selected metal ion complexes of HMBBD Schiff base were characterized using different techniques to obtain their structural information. The metal ion complexes of HMBBD Schiff base have shown high stability in leaching of metal ions. All the PLA produced by ROP of *L*-lactide shows higher molecular weight with above 80% conversion rate.

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